

CA. 05-10176

# United States Court of Appeals For the First Circuit

No. 07-1367

ACA FINANCIAL GUARANTY CORPORATION; DRYDEN NATIONAL MUNICIPALS FUNDS, INC.; JOHN MOORE; LOIS MOORE; SMITH BARNEY INCOME FUNDS/SMITH BARNEY MUNICIPAL HIGH INCOME FUND;  
T. ROWE PRICE TAX-FREE HIGH YIELD FUND, INC.,  
Plaintiffs, Appellants,

~~Plaintiffs~~ DENISE MCKEOWN; ROBERT LUTTS,  
Plaintiffs,

v.

ADVEST, INC.; KAREN SUGHRUE; GARRY CRAGO; JEAN CHILDS; PAULA EDWARDS COCHRAN; G. DAVIS STEVENS, JR.; JULIA DEMOSS; WILLIAM R. DILL; LESLIE A. FERLAZZO; JOYCE SHAFFER FLEMING; ERIC W. HAYDEN; CATHERINE CHAPIN KOBACHER; ANNE MARCUS; CELESTE REID; RICHARD J. SHEEHAN, JR.; JOSEPH SHORT; GREGORY E. THOMAS; SUSAN K. TURBEN; DONALD W. KISZKA,  
Defendants, Appellees.

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## JUDGMENT

Entered: January 10, 2008

This cause came on to be heard on appeal from the United States District Court for the District of Massachusetts and was argued by counsel.

Upon consideration whereof, it is now here ordered, adjudged and decreed as follows: The judgment of the district court is affirmed.

**Certified and Issued as Mandate  
under Fed. R. App. P. 41.**

By the Court:

Richard Cushing Donovan, Clerk

*Richard Cushing*  
Richard Cushing Donovan, Clerk

Date: JAN 31 2008

[cc: Mr. Tabb, Mr. Hoffman, Mr. Kotlier, & Mr. Roberts.]